The

Attorney's Docket No. CAR-001PAT

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

Roger Milner King

Serial No.:

10/582,437

**Group No.: 3781** 

Filed:

September 5, 2006

Examiner: Volz, Elizabeth J.

For: BOTTLE AND CLOSURE ASSEMBLY WITH IMPROVED LOCKING

**ELEMENTS** 

**Commissioner for Patents** 

P.O. Box: 1450

Alexandria, VA 22313-1450

## AMENDMENT TRANSMITTAL

- 1. Transmitted herewith is an amendment for this application.
- 2. Applicant is

\_\_\_\_ a small entity.

X other than a small entity.

## **CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING** 

**FACSIMILE** 

x I deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Mail Stop: Amendment, P.O. Box 1450, Alexandria, VA 22313-1450 on October 12, 2010.

Transmitted by facsimile to the Patent and Trademark Office addressed to the Commissioner of Patents, Mail Stop:
Amendment, Alexandria, VA 22313-1450 to the telephone facsimile no. 571-272-8300 on

Signature

Mark F. Smith

Date: October 12, 2010

10/15/2010 SZEWDIE1 00000035 10582437

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490.00 OP

#### **EXTENSION OF TERM**

NOTE- "Extension Of Time in Patent Cases (Supplement Amendments) - if a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action; an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after exp ira son of the shortened statutory period unless the timely filed response placed the application in condition for allowance. Of course if a Notice of Appeal has been flied within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 0.G. 34-5).

NOTE- See 37 CFR 1.645 for extensions of time in interference proceedings, and 37 CFR 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

(complete (a) or (b), as applicable)

(a) X Applicant petitions for an extension of time under 37 CFR 1.136 (fees: 37 CFR 1.17(a)-(d) for the total number of months checked below:

| Extension    | Fee for other than | Fee for      |  |  |
|--------------|--------------------|--------------|--|--|
| (months)     | small entity       | small entity |  |  |
| one month    | \$ 130.00          | \$ 65.00     |  |  |
| X two months | \$ 490.00          | \$245.00     |  |  |
| three months | \$1,110.00         | \$555.00     |  |  |
| four months  | \$1,730.00         | \$865.00     |  |  |

Fee \$ 490.00

| An extensio | n for                 | _ months has already  | / been secured : | and the fee paid | therefore of |
|-------------|-----------------------|-----------------------|------------------|------------------|--------------|
| \$          | _is deducted from the | total fee due for the | total months o   | f extension now  | requested.   |

Extension fee due with this request \$490.00

OR

(b) \_\_\_\_ Applicant believes that no extension of term is required. However this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

# **FEE FOR CLAIMS**

| 4. The                      | fee tor claims   | (37 CFR 1   | l.16(b}-(d)) has   | s been ca                                    | alcula                         | ted as              | shown                 | below:       |           | D THAN A             |            |
|-----------------------------|--|---|--|--|--------------------------------|---------------------|-----------------------|--------------|-----------|----------------------|------------|
|                             | (Col. 1)   |   | (Col. 2)   | (Col. 3                                      | 3)                             | SMA                 | LL ENT                | ГІТҮ         |           | R THAN A<br>L ENTITY |            |
|                             | CLAIMS<br>REMAINING<br>AFTER<br>AMENDME  |   | HIGHEST N<br>PREVIOUSL<br>PAID FOR   |  | RA                             | RATI                |                       | SENT<br>OR R | ATE       | ADDIT.<br>FEE        |            |
| TOTAL                       | *  | MINUS   | **   | =  | X                              | 52 =                | \$                    |              | X 26 =    | \$0.00               |            |
| INDEP                       | *  | MINUS   | ***  | =  | X 22                           | 20 =                | \$                    |              | X 110 =   | \$0.00               |            |
| FIR                         | RST PRESENT  | TATION C  | F MULTIPLE   | E DEP. C                                     | CLAII                          | М                   |                       | X 39         | 0 =\$     | X 195 =              | \$         |
|                             |  |   |  |  | 1                              | ОТА                 | L ADD                 | ITION        | AL FEE:   | \$0.00               |            |
| ** If<br>*** If<br>Th<br>an | the "Highest No P<br>f the "Highest No.<br>ne "Highest No. Pi<br>nendment or the n | Previously Pa<br>Previously P<br>reviously Paid<br>umber or cla | entry in Col. 2, wrid for" IN THIS SI<br>aid for" IN THIS SI<br>aid for" IN THIS d<br>for" (Total or ind<br>ims originally filed | PACE is le<br>SPACE is<br>lep.) is the<br>l. | ess that<br>less the<br>highes | an 3, er<br>st numl | iter "3"<br>per found | in the ap    |           |                      | •          |
| WAKN                        |  | •   | ction (Section 1.11.<br>ch has been made   | -  |                                | •                   |                       | -            | ms or com | plying with any      | ,          |
|                             |  |   |  |  |                                |                     |                       | (com         | plete (c) | or (d), <i>as a</i>  | pplicable) |
| (c) _                       | x No add   | litional f  | ee for claims  | is requ                                      | uired                          | I                   |                       |              |           |                      |            |
|                             |  |   |  | O  | R                              |                     |                       |              |           |                      |            |
| (d) _                       | Total ad   | lditional   | fee for claim  | ıs requ                                      | ired                           | \$0.0               | 0                     |              |           |                      |            |
|                             |  |   | Fl   | EE PA  | YMF                            | ENT                 |                       |              |           |                      |            |
| 5                           | x Attache  | ed is a ch  | eck in the su  | m of \$                                      | <u>490.</u>                    | 00                  |                       | <u></u> .    |           |                      |            |
| _                           | Charge   | Account   | No   |  |                                |                     | tl                    | he sun       | n of \$   |                      | <b>•</b>   |
| 1                           | A duplicate  | of this tr  | ansmittal is   | attache                                      | ed.                            |                     |                       |              |           |                      |            |

#### FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum six-month period has expired before the deficiency is noted and corrected the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the depose account for any tee deficiency should be checked. See the Notice of April 7 1~86, (1065 O.G. 31-33). 6. If any additional extension and/or fee is required, charge Account No. AND/OR \_\_\_ If any additional fee for claims is required, charge Account No. OTHER DOCUMENTS ATTACHED 7. No other documents are attached. X The following documents are attached hereto: Amendment and Response Supplemental Information Disclosure Statement Reg. No.: 32,437 SIGNATURE OF ATTORNEY Tel. No.: 513-379-5846 Mark F. Smith Smith Brandenburg Ltd

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